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September 24, 2003

Attorney Docket No.: 004-8830

16834 U.S. PTO
10/670495
09/24/03

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing is a patent application as follows:

Inventor(s): Nir N. Shavit, Mark S. Moir and Victor M. Luchangco
Title: EFFICIENT NON-BLOCKING K-COMPARE-SINGLE-SWAP OPERATION
Assignee: Sun Microsystems, Inc.

Enclosed are:

- ☒ Application Data Sheet (3 page(s))
- ☒ Request for Non-Publication and Certification under 35 U.S.C. 122(b)(2)(B)(i) (1 page(s))
- 42 Pages of Written Description (including Specification, Claims and Abstract) (1 page(s))
- 2 Sheets of Drawings, X Formal / Informal
- ☒ Declaration for Patent Application (2 pages), X Executed/ Unexecuted
- ☒ Assignment of the Invention (3 pages, including Cover Sheet)
- ☐ Information Disclosure Statement (page(s))
- ☐ with Form(s) PTO 1449 (page(s)) and copies of reference(s)
- ☒ Other: Check in the amount of \$2,926
- ☒ This Transmittal Letter (in duplicate) (2 page(s)) ☒ Return Postcard

<u>CLAIMS AS FILED</u>				Rate	Fee
	Number Filed	Number Extra			
Basic Fee =	106	- 20	= 86	x \$18.00 =	750.00
Total Claims	10	- 3	= 7	x \$84.00 =	1,548.00
Independent Claims	Multiple Dependent Claims (if any) - \$280.00 fee				588.00
Other: Record Assignment					40.00
TOTAL FILING FEE					\$2,926.00

Entity status is entitled to be asserted for the application.

for the Total Filing Fee shown above.

any additional fees under 37 C.F.R. § 1 and to similarly cre

- ☐ Small entity status is entitled to be asserted for the application.
- ☒ A check is enclosed for the Total Filing Fee shown above.
- ☐ Please charge the Total Filing Fee shown above to Deposit Account 50-0631.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. § 1.16 or 1.17 that may be required during the pendency of this application, and to similarly credit any overpayment, to Deposit Account 50-0631.

Client Reference No.: P8830

September 24, 2003


RE: 004-8830

Page 2 of 2

EXPRESS MAIL LABEL NO.
EV335379437US

ZAGORIN, O'BRIEN & GRAHAM, L.L.P.

Respectfully submitted,


David W. O'Brien, Reg. No. 40,107
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nir N. Shavit, Mark S. Moir and Victor M. Luchangco
Title: EFFICIENT NON-BLOCKING K-COMPARE-SINGLE-SWAP
OPERATION
Application No.: (unassigned) Filed: Herewith
Examiner: (unassigned) Group Art Unit: (unassigned)
Atty. Docket No.: 004-8830

September 23, 2003

Mail Stop Patent Application
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

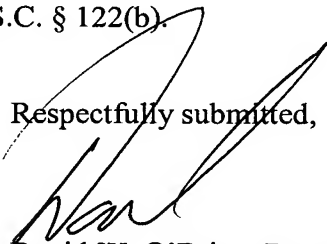
**REQUEST FOR NONPUBLICATION
AND CERTIFICATION (35 U.S.C. § 122(b)(2)(B)(i))**

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

EXPRESS MAIL LABEL NO.:

EV335379437US

Respectfully submitted,


David W. O'Brien, Reg. No. 40,107
Attorney for Applicant(s)
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This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).